



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: G. C. Charters et al

Serial No.: 09/683,902

Filed: February 28, 2002

For: METHOD, APPARATUS AND  
COMPUTER PROGRAM PRODUCT FOR  
INTEGRATING HETEROGENEOUS SYSTEMS

Date: June 7, 2002

Group Art Unit: 2122

Examiner:

Docket No.: GB920000092US1

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10/18/02  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

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Technology Center 2100

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Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

Derek S. Jennings  
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(914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598



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Technology Center 2106

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

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TRANSMITTAL LETTER

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- J) PTO-1449 Form With 3 References Attached
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In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,

Derek S. Jennings - Date June 7, 2002  
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Serial No. 09/683,902

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LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

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APPLICANT:

G. C. Charters et al.

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FILING DATE:

February 28, 2002

GROUP: 2122

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AC							
	AD							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AE	Jim Gray, Digital Equipment Corp., Andreas Reuter, University of Stuttgart, "Transaction Processing: Concepts and Techniques", pp. 6-7, Morgan Kaufmann Publishers Inc., San Mateo, CA, 1994.
AG	Ahmed K. Elmagarmid, "Database Transaction Models For Advanced Applications", pp. 35-44, Morgan Kaufmann Publishers Inc., San Mateo, CA, 1995.
AH	Sushil Jajodia and Larry Kerschberg, George Mason University, Fairfax, VA, "Advanced Transaction Models And Architectures", pp. 28-34, Kluwer Academic Publishers, Norwell, MA, 1997.
AI	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.